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PATENT

Case Docket No. ENSEMB.031A
Date: February 4, 2002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Stanwood et al.

App. No. : 09/991,532

Filed : November 15, 2001

For : IMPROVED FRAMING
FOR AN ADAPTIVE
MODULATION
COMMUNICATION
SYSTEM

Group Art Unit : 2661

I hereby certify that this correspondence and all marked attachments are being deposited with the United States Postal Service as first class mail in an envelope addressed to: United States Patent and Trademark Office PO Box 2327 Arlington, VA 22202, on

February 4, 2002

(Date)

James F. Herkenhoff, Reg. No. P-51,241

TRANSMITTAL LETTER

**United States Patent and Trademark Office
PO Box 2327
Arlington, VA 22202**

ATTENTION: BOX MISSING PARTS

Dear Sir:

In response to the Notice to File Missing Parts of Application Under 37 CFR 1.53(f), which was mailed by the Office on December 5, 2001, enclosed are:

- An executed Declaration by Inventor(s).
- A Notice to File Missing Parts.
- Return prepaid postcard.
- Fees as calculated below:



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| | |
|-------------------------------|----------|
| FILING FEE | \$ 740 |
| FEE FOR ADDITIONAL CLAIMS | \$ 1296 |
| SURCHARGE 37 CFR 1.16(e) | \$ + 130 |
| TOTAL OF ABOVE CALCULATIONS | \$ 2166 |
| TOTAL FEES SUBMITTED HEREWITH | \$ 2166 |

(X) A check in the amount of \$2166 to cover the above fees is enclosed.
(X) The Commissioner is hereby authorized to charge any additional fees which may be required, now or in the future, or credit any overpayment, to Account No. 11-1410.

James F. Herkenhoff
Registration No. P-51,241
Attorney of Record



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#3 Section H

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/991,532 | 11/15/2001 | Kenneth L. Stanwood | ENSEMB.031A |

CONFIRMATION NO. 3108

20995
KNOBBE MARTENS OLSON & BEAR LLP
620 NEWPORT CENTER DRIVE
SIXTEENTH FLOOR
NEWPORT BEACH, CA 92660

FORMALITIES LETTER



OC000000007156278

Date Mailed: 12/05/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$1296.
 - \$792 for 44 total claims over 20.
 - \$504 for 6 independent claims over 3 .
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 2166.

A copy of this notice MUST be returned with the reply.

02/12/2002 MBERHE 00000067 09991532

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|-----------|-----------|
| 01 FE:101 | 740.00 0P |
| 02 FE:105 | 130.00 0P |
| 03 FC:103 | 792.00 0P |
| 04 FC:102 | 504.00 0P |

AM

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Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE